

GES IX, an advanced technology suite empowers wealth management companies to deliver financial services to individual investors. Highly automated work flow helps reducing manual procedures such as unit registration, client subscription, and dividend distribution.



## ADVANCED, HIGHLY SOPHISTICATED AND MULTI-FUNCTIONAL WEALTH MANAGEMENT SYSTEM

### Top Reasons To Use GES IX

#### Powerful Client and Order Management

GES IX helps wealth management companies easily expand their service capabilities and client base by centralizing the management of client accounts and orders in one single console. In this console, clients' personal information and orders are safely recorded for administration, analysis, billing and settlement.

#### Client Enquiry Online (CEO)

CEO is a web interface for clients to check the most up-to-date product information, market news, announcement and investment portfolio. CEO greatly reduces administrative workload by streamlining fund subscription and Front-to-Middle Office process including fund selection, capital injection, application approval and unit registration.

#### Simplified and Automatic Fund Operations

Fund operations are managed in a flexible, user-friendly environment. The administrative burdens are greatly reduced by eliminating and automating the error-prone tasks such as setting management fee, placing orders, adding formulas, dividend and interest, etc.

#### Localized Event Scheduler

The event scheduler is able to help administrators generate and manage the events involved in the business operations. By integrating the holiday and product holiday tables, the system can automatically generate the event schedule clearly showing the dates of valuation, dividend distribution and maturity.

#### Client Enquiry Online (CEO)

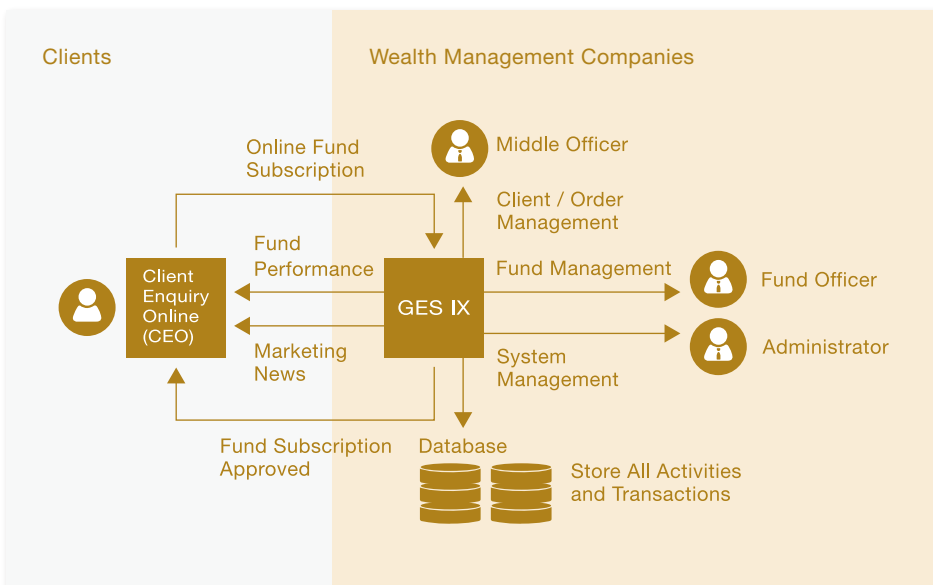
Supporting browsers:

- Internet Explorer
- Firefox
- Chrome
- Safari



- Multi-functional web interface

### Architecture





ADVANCED, HIGHLY SOPHISTICATED AND  
MULTI-FUNCTIONAL WEALTH MANAGEMENT SYSTEM

## Feature Highlights

### Client Enquiry Online (CEO)

- Real-time market information and position monitoring
- Summary of client's cash and holdings
- Report of asset value on valuation date
- Product documents download
- Historical account statement download
- "Points" allocation by groups for special offers
- Subscribing products information via web interface
- Customized performance reports

### Fund Management

- Managing Product Series and Product information
- Fees and charges calculation
- Adding formula for dividend and interest
- Assigning a bank settlement account to each product
- Setting fund price on current dealing date
- Client summary
- Daily accounting record of all trades
- Individual set of holiday for each fund

### Client and Order Management

- Multi-currency support
- A central repository to store all relevant information
- Settlement accounts and product account management
- Seamlessly handling cash-in from client's bank transactions
- Comprehensive cash management
- Auto-pairing between the investors and their corresponding bank accounts
- Subscription/redemption management

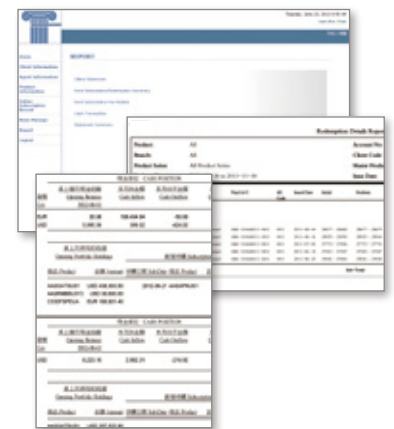
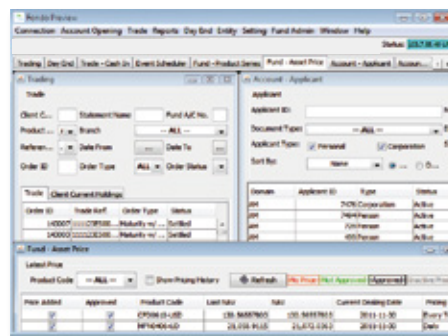
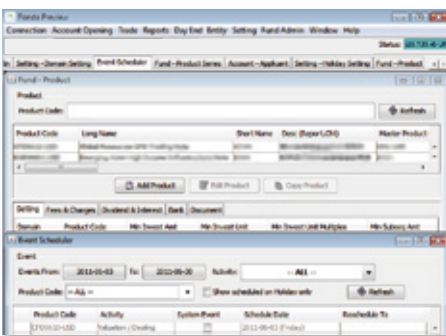
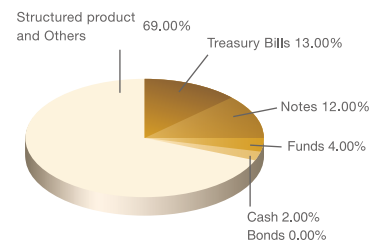
### System Management

- Multi-level access control
- Accessibility control on IP restriction and platform
- Multiple types of entity and user

### Investment Instruments

- Equities Fund
- Balanced Fund
- Hedge Fund
- Mutual Fund
- Exchange-Traded Note

### View Distribution Chart



- Generate reports through web interface

- Management Console: comprehensive set of features and reporting

## About GES

Global eSolutions (HK) Limited "GES" is a global leading provider dedicated in financial technologies for more than 10 years. GES empowers financial institutions with algo-trading capability, advanced risk management and FIX bridging solutions. GES fully covers the needs of the on-floor trading and OTC markets, with value-added services ranging from IT technical support, system integration, bespoke software and network infrastructure development to hosting services. With GES technologies, financial institutions are able to overcome the challenges of risk management, position management, liquidity source and trading across different financial instruments in a single platform. GES's well established partnering with top-tier financial institutions and prime brokerage firms can fulfill customers' needs of liquidity and market depth. GES ensures the highest level of certainty and satisfaction through comprehensive industry expertise and a deep-set commitment to customers.

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