

# GES IX

An Advanced, Highly Sophisticated and Multi-Functional Wealth Management System



GES IX, an advanced technology suite empowers wealth management companies to deliver financial services to individual investors. Highly automated work flow helps reducing manual procedures such as unit registration, client subscription, and dividend distribution.

## Top Reasons To Use GES IX

### Powerful Client and Order Management

GES IX helps wealth management companies easily expand their service capabilities and client base by centralizing the management of client accounts and orders in one single console. In this console, clients' personal information and orders are safely recorded for administration, analysis, billing and settlement.

### Localized Event Scheduler

The event scheduler is able to help administrators generate and manage the events involved in the business operations. By integrating the holiday and product holiday tables, the system can automatically generate the event schedule clearly showing the dates of valuation, dividend distribution and maturity.

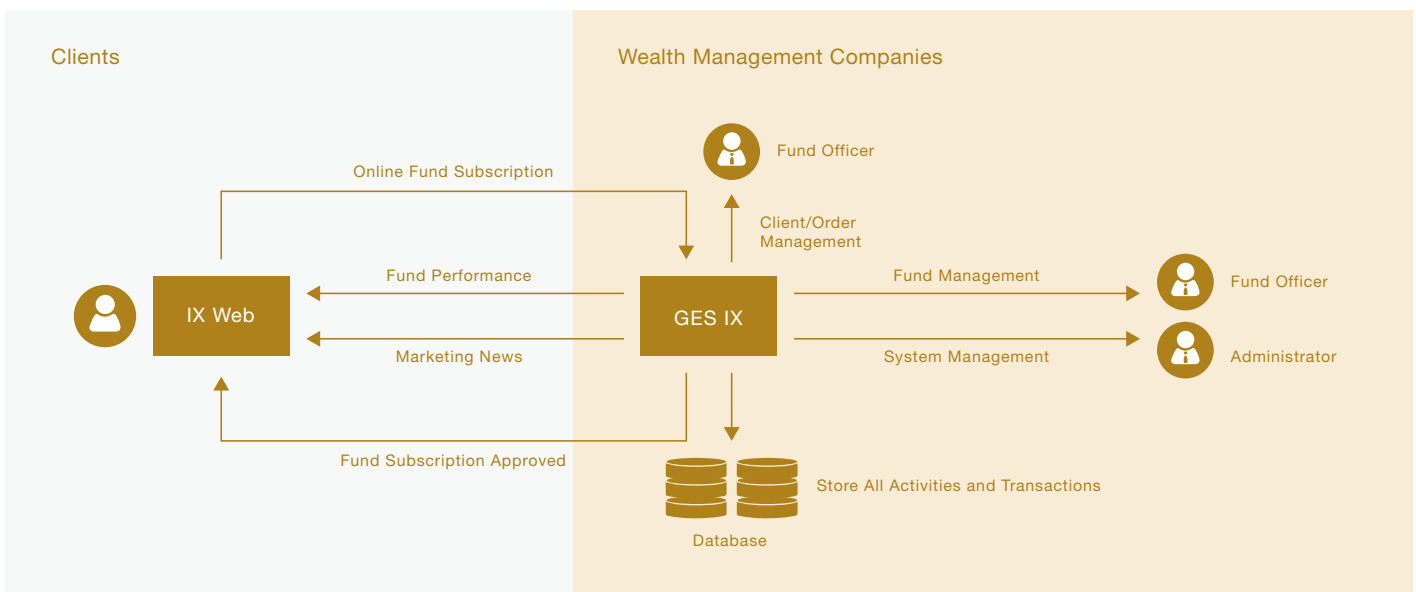
### Simplified and Automatic Fund Operations

Fund operations are managed in a flexible, user-friendly environment. The administrative burdens are greatly reduced by eliminating and automating the error-prone tasks such as setting management fee, placing orders, adding formulas, dividend and interest, etc.

### IX Web

IX Web is a web interface for clients to check the most up-to-date product information, market news, announcement and investment portfolio. IX Web greatly reduces administrative workload by streamlining fund subscription and front-to-middle office process including fund selection, capital injection, application approval and unit registration.

## Architecture





## Feature Highlights

### IX Web

- Market information, product information and client portfolio monitoring
- Summary of client's cash and holdings
- Report of asset value on valuation date
- Factsheet, report and documentation download
- Historical account statement download
- "Points" allocation by groups for special offers (VIP Module)
- Online application form
- Online product subscription form
- Customized performance reports

### Client and Order Management

- Multi-currency support
- A repository for all relevant information
- Settlement accounts and product account management
- Seamlessly handling cash-in from client's bank transactions
- Comprehensive cash management
- Auto-pairing between the investors and their corresponding bank accounts
- IOP/subscription/redemption/maturity/fund consolidation management

### Fund Management

- Managing product series and product information
- Fees and charges calculation
- Customizable formula for dividend and interest
- Assigning a bank settlement account to each product
- One step products NAV management panel with import pricing function
- Client portfolio summary
- Daily accounting record of all trades
- Individual set of holiday scheme for each product

### System Management

- Multi-level access control
- Accessibility control on IP restriction and platform
- Multiple types of entity and user

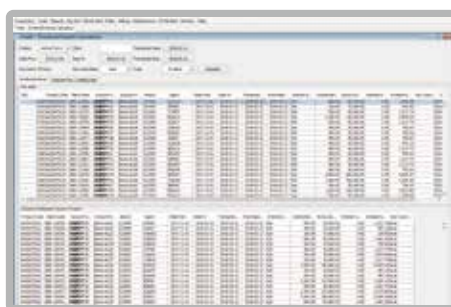
### IX Web

Supporting browsers:

- Internet Explorer
- Firefox
- Chrome
- Safari

### Investment Instruments

- Equities Fund
- Balanced Fund
- Hedge Fund
- Mutual Fund
- Exchange-Traded Note



- Management Console: comprehensive set of features and reporting

## About GES

**Global eSolutions (HK) Limited ("GES")** is a Hong Kong-based financial trading solution provider dedicated to the financial technology industry for more than 10 years, serving customers mainly in Asia Pacific region, such as Hong Kong, Japan, Malaysia, Indonesia and Australia. GES empowers financial institutions with algo-trading capability, advanced risk management and FIX bridging solutions. GES fully covers the needs of the on-floor trading and OTC markets, with value-added services ranging from IT technical support, system integration, bespoke software and network infrastructure development to hosting services. With GES technologies, financial institutions are able to overcome the challenges of risk management, position management, liquidity source and trading across different financial instruments in a single platform. GES's well established partnering with financial institutions and brokerage firms can fulfill customers' needs of liquidity and market depth. GES ensures the highest level of certainty and satisfaction through comprehensive industry expertise and a deep-set commitment to customers.

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